

FACSIMILE cover sheet

WENDELL E. MILLER
PATENT AGENT
1506 11PPECANOE DRIVE, D-1
WARSAW, IN 46580
Tel (574) 267-2729 -- FAX (574) 268-2729

RECEIVED
CENTRAL FAX CENTER

November 1, 2004

NOV 01 2004

By FAX to (703) 872-9306

Cover plus 32 pages transmitted

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn.: Examiner William Bangachon

TC/A.U.: 2837

Re: Application No. 09/801,201 - Confirmation No. 4024

For: RATE-OF-CHANGE SWITCHES AND
CONTROLLABLE APPARATUS

Filed: March 7, 2001

Applicants: John L. Lautzenhiser et al.

Docket No: 212-02US

Enclosed: TRANSMITTAL FORM, PTO/SB/21, 1 page, 1 copy;
RESUBMISSION OF PRELIMINARY AMENDMENT UNDER 37 CFR 1.115, 2 pages, 1 copy;
EXHIBITS transmitted herewith:
A - FACSIMILE COVER SHEET with Certificate of Transmission, 02/27/2004, 1 page, 1 copy;
B - FAX "SEND CONFIRMATION REPORT for WENDELL E MILLER PATENT AGENT 574 268 2729
Feb-27-04 15:33", 28 pages (cover plus 27 pages transmitted), 1 page, 1 copy;
C - TRANSMITTAL FORM, PTO/SB/21, Dated 02/27/2004, 1 page, 1 copy;
D - FEE TRANSMITTAL for FY 2003, PTO/SB/17, Dated 02/27/2004, with authorization to charge
\$573.00 to Deposit Account No. 13-3404, 1 page, 2 copies;
E - PATENT APPLICATION FEE DETERMINATION RECORD, PTO/SB/06, 1 page, 1 copy; and
F - PRELIMINARY AMENDMENT UNDER 37 CFR 1.115, Dated 02/27/2004, 23 pages, 1 copy.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, FAX
No. (703) 872-9306 on this date: November 1, 2004.

Typed name of person signing this certificate: Wendell E. Miller, Registration No. 26,572

Date signed: November 1, 2004

Signature: Wendell E. Miller

If there are transmission problems, please call (574) 267-2729.

CONFIRMATION COPY OF
ORIGINAL COPY AND ANY ENCLOSURES/ / WILL
/XX/ WILL NOT be sent

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS CONFIDENTIAL AND ONLY FOR THE INTENDED RECIPIENT IDENTIFIED ABOVE. IF YOU
ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION OR USE OF THIS COMMUNICATION IS UNLAWFUL. IF
YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT), RETURN THE ORIGINAL
MESSAGE TO US, AND RETAIN NO COPY.

09/11/2007 SSANDARA 00000001 133404 09801201

01 FC:2201 264.00 DA
02 FC:2202 315.00 DA

FAX 11/1/2004

SUBSTITUTE:

For discretionary use only

By FAX to (703) 872-9306

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Indicate significant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$): 573.

Complete If Known

Application Number	09/801,201 Conf. No.: 4024
Filing Date	March 7, 2001
First Named Inventor	John L. Lautzenhiser et al.
Examiner Name	
Art Unit	2837
Attorney Docket No.	212-02US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

13-3404

Wendell E. Miller

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$): 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims: 65 - 30 = 35	35	9	315
Multiple Dependent Claims: 16 - 10 = 6	6	43	258

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 260	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$): 573.

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$): 0

SUBMITTED BY

Name (Print/Type)

Wendell E. Miller

Registration No.
(Attorney/Agent)

26,572

(Complete if applicable)

Telephone (574) 267-2729

Signature

Wendell E. Miller

Date

02/27/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

COPY

EXHIBIT D